

“Using the Sequential Intercept Model to Enhance Criminal Justice Reform”

WHAT WORKS-Helping Victims of Crime is a
Public Safety Strategy
Portland, Oregon

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WELCOME BACK
RECIDIVISTS!

M. Wokny



Goals

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- Promote and support **recovery**
- Provide **safety**, quality of life for all
- Keep out of jail, in **treatment**
- Provide **constitutionally adequate** treatment in jail
- Link to comprehensive, appropriate, and integrated **community-based services**

SUMMARY

Key to Becoming Trauma-Informed

- Develop understanding
- Increase awareness
- Recognize signs
- Learn how to respond

GOAL: Trauma-Informed Responses

- Increase safety
- Reduce recidivism
- Promote recovery

242 YEARS AGO

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- “I must here add, that in some few prisons are confined idiots and lunatics. No care is taken of them, although it is probable that by medicines, and proper regimen, some of them might be restored to their senses, and to usefulness in life.”
- John Howard-Prison Reformer-1777

53 YEARS AGO

“Poor, uneducated people appear to use the police in the way that middle-class people use family doctors and clergy-men—that is, as the first port of call in time of trouble.”

Cumming, E., Cumming, I., & Edell, L., (1966). “Policeman as philosopher, guide and friend.” *Social Problems* (pp. 285).

52 YEARS AGO

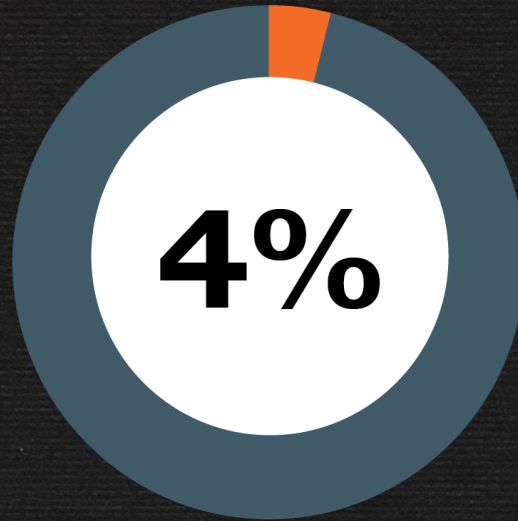
**“Policemen confront
perversion, disorientation,
misery, irresoluteness, and
incompetence much more often
than any other social agent.”**

Bittner, E. (1967). “Police discretion in emergency apprehension of mentally ill persons.” *Social Problems* (pp. 280).

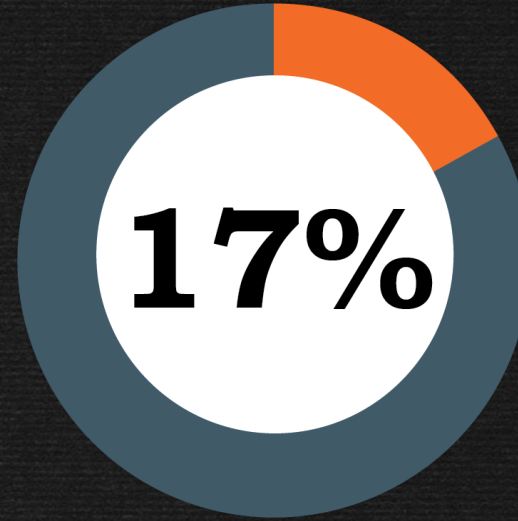


Population Characteristics

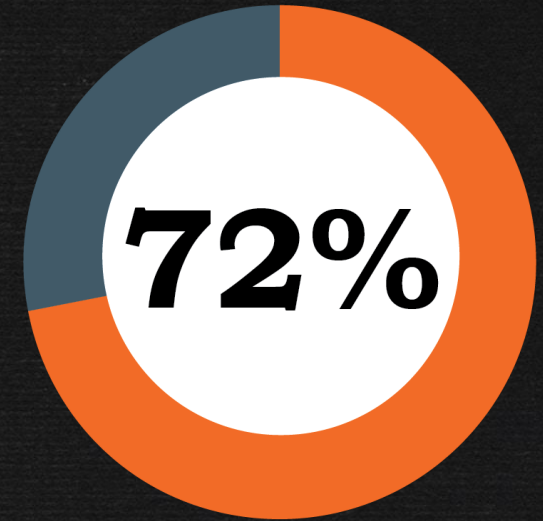
Jails and Mental Disorders



of the **general population** have SMI



of **jail inmates** have SMI

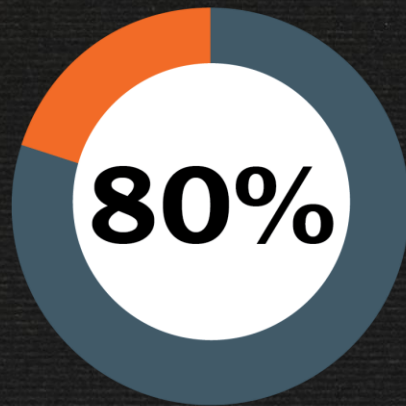


of those in jail with SMI have a **co-occurring disorder**

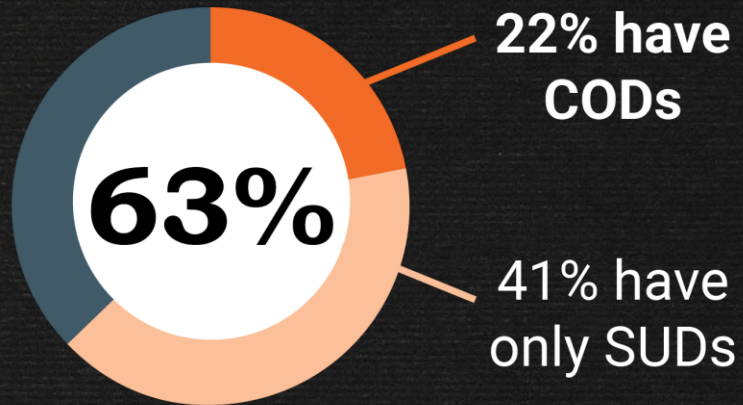
National Survey of Drug Use & Health, 2017
Steadman, Osher, Robbins, Case, & Samuels, 2009
Teplin, 1990
Teplin, Abram, & McClelland, 1996
Abram, Teplin, & McClelland, 2003



Jails and Substance Use Disorders



of **arrestees**
tested positive
for a drug



of jail inmates
have a **substance**
use disorder



Only **1 in 5** inmates
receive drug treatment
while incarcerated

Arrestee Drug Abuse Monitoring, 2013
Bronson, Zimmer, & Berzofsky, 2017
Wilson, Draine, Hadley, Metraux, & Evans, 2011



Prevalence of Trauma

Trauma and the Justice System

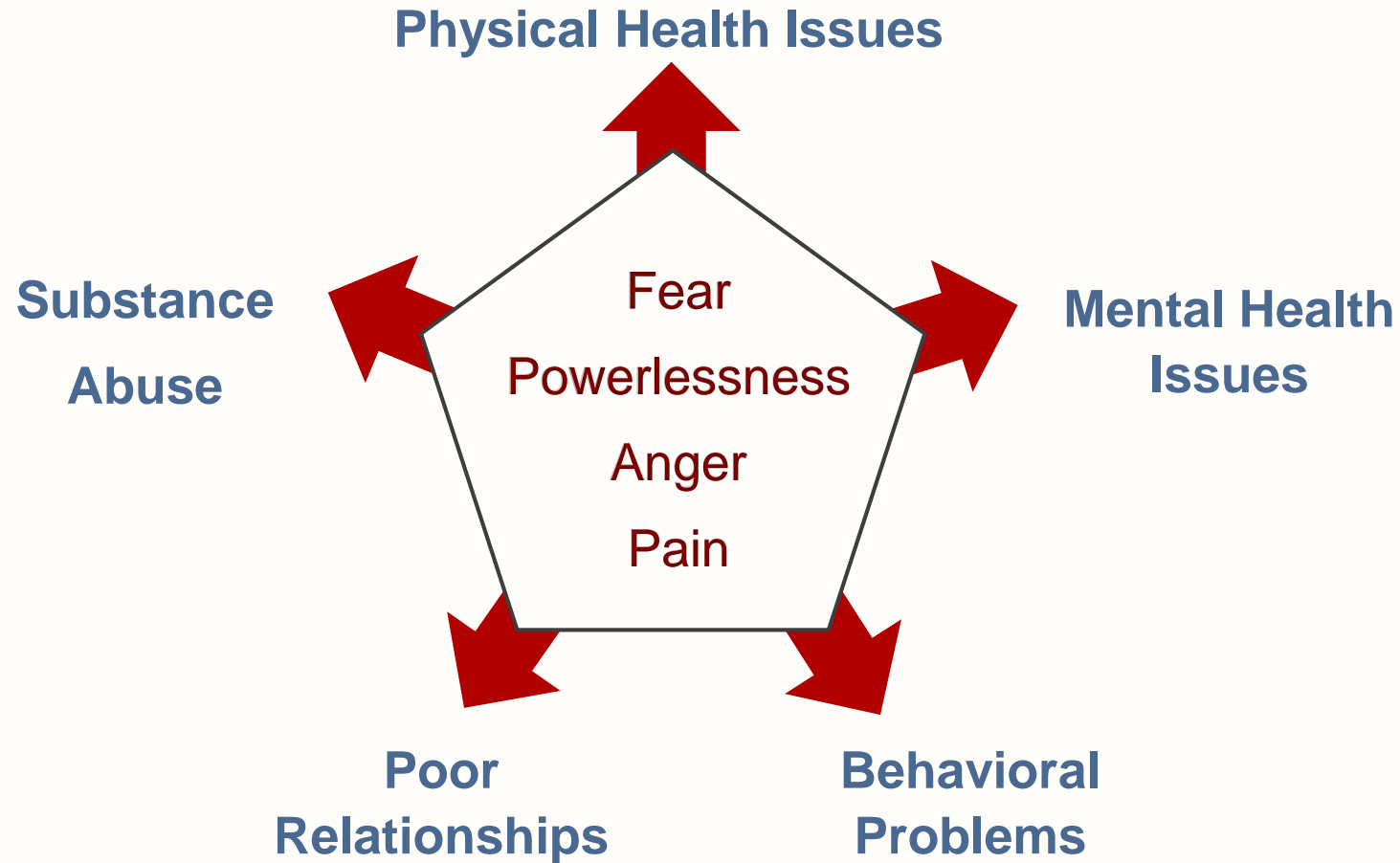
Any Physical or Sexual Abuse
(N=2,122)


	Lifetime	Current
Female	95.5%	73.9%
Male	88.6%	86.1%
Total	92.2%	79.0%

The past is never dead.
It's not even past. William Faulkner



Long-term Effect

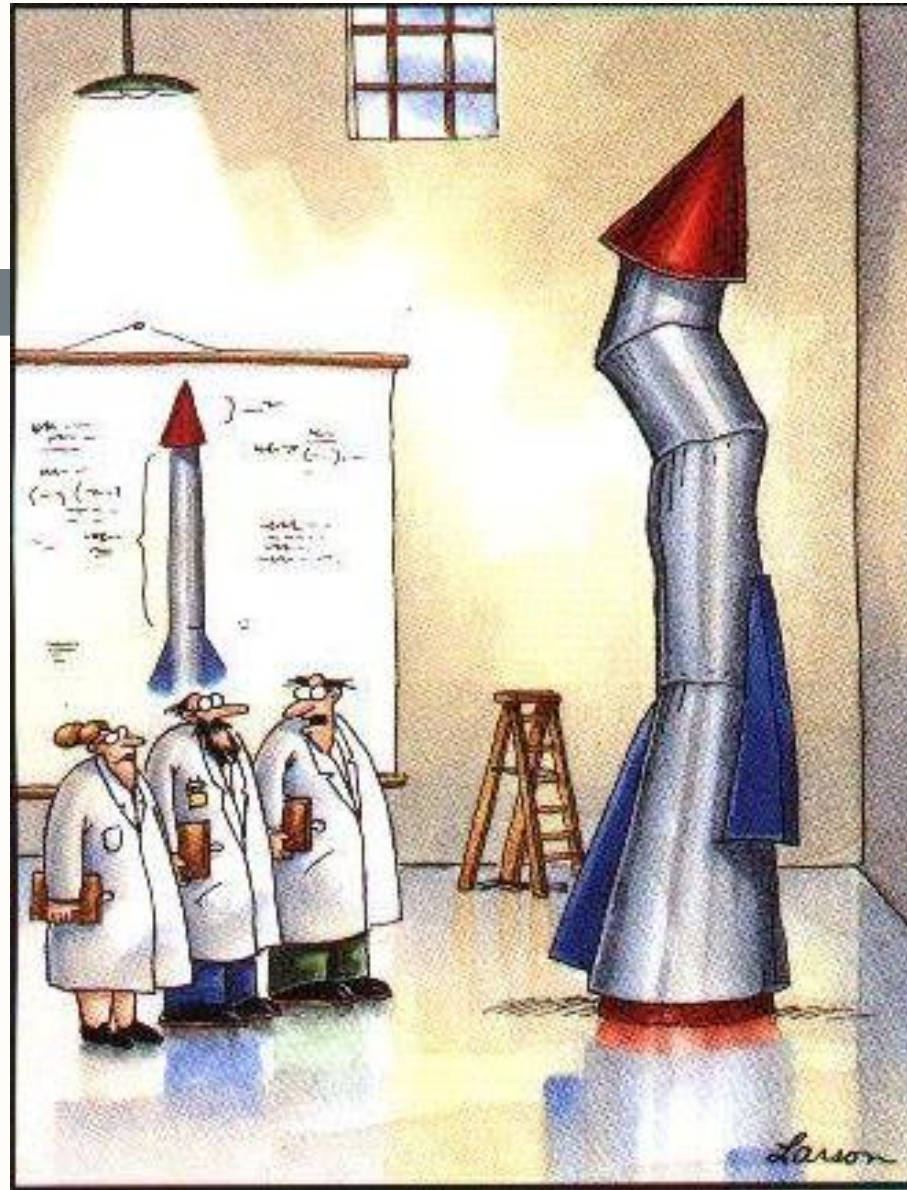


A close-up photograph of two hands shaking in a firm grip. The hand on the left is dark-skinned and wearing a white long-sleeved shirt. The hand on the right is light-skinned and wearing a blue and white striped long-sleeved shirt. The background is a soft, out-of-focus grey.

**Improve integrated service
delivery by promoting**

collaboration





"It's time we face reality, my friends. ...
We're not exactly rocket scientists."



Challenges to Collaboration

Funding
silos

**Limited resources
create a competitive
and/or protective
environment**

System
cultures

Enhancing Collaboration

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- Cross-training
- Interagency agreements
 - Coordinate services
 - Communication
 - Information sharing (data)
 - Partnerships
- Success involves
 - Task forces
 - People with lived experiences
 - Boundary spanners/champions



Benefits of Effective Collaboration

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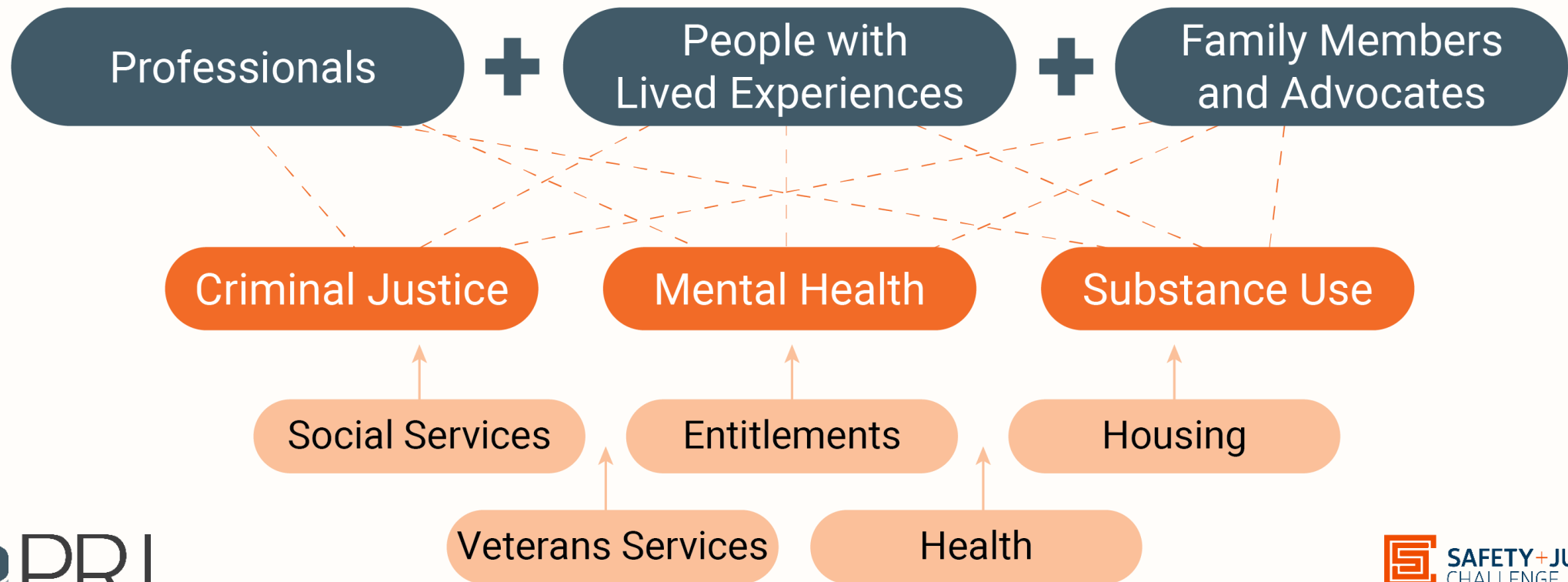
Community Collaboration + Services Integration =

- ↑ Service retention
- ↑ Stability in the community
- ↑ Public safety

Collaboration

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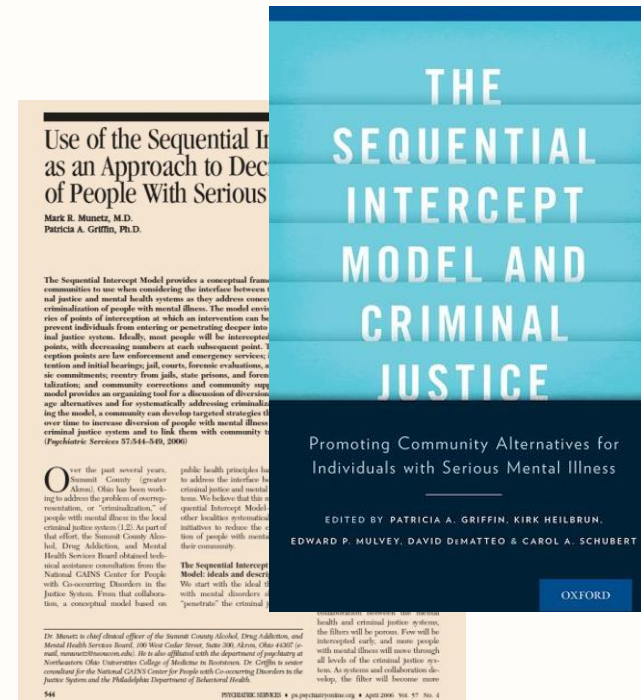
TASK FORCE COLLABORATION



Sequential Intercept Model

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- People move through the criminal justice system in predictable ways
- Illustrates key points, or intercepts, to ensure:
 - Prompt access to treatment
 - Opportunities for diversion
 - Timely movement through the criminal justice system
 - Engagement with community resources

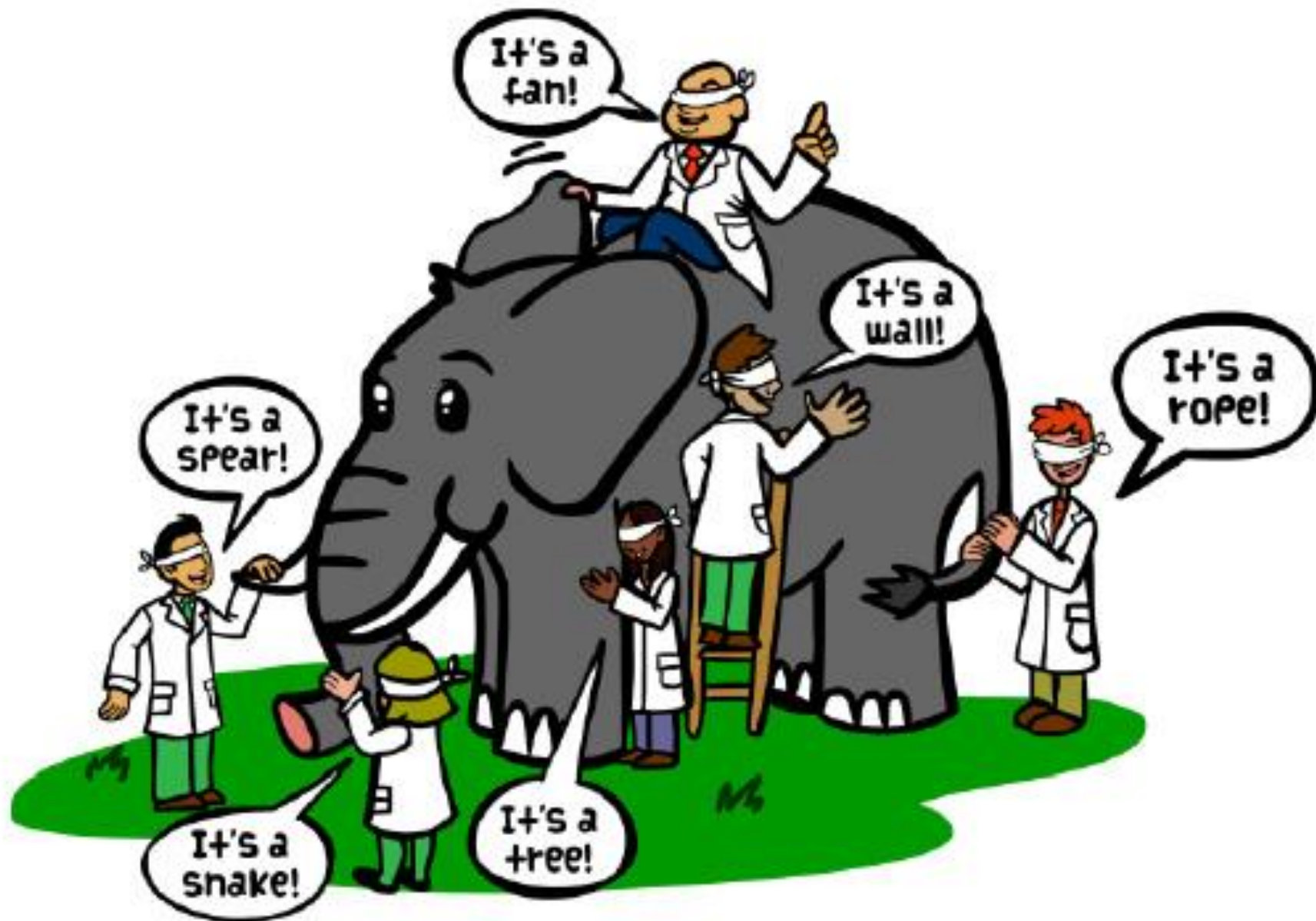


What is SIM Mapping?

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- The Sequential Intercept Model can be used by communities to
 - Transform fragmented systems
 - Assess gaps and opportunities
 - Identify where interventions are needed
 - Streamline duplicative efforts

Depicts how adults with behavioral health needs move through the criminal justice system.



The “Unsequential” Model

Arrest

Community

Community Supervision

Jail

Initial
Hearings

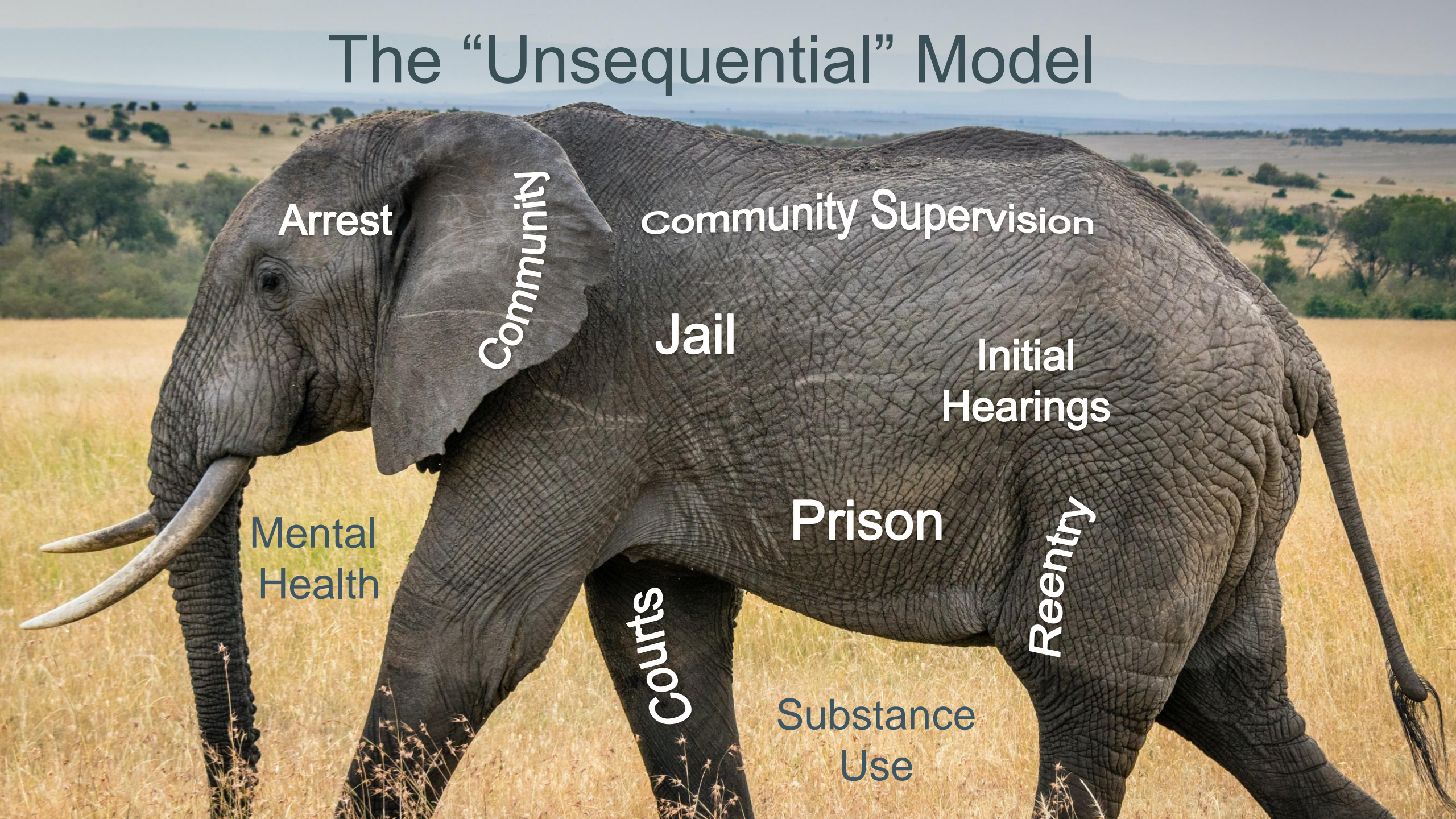
Mental
Health

Prison

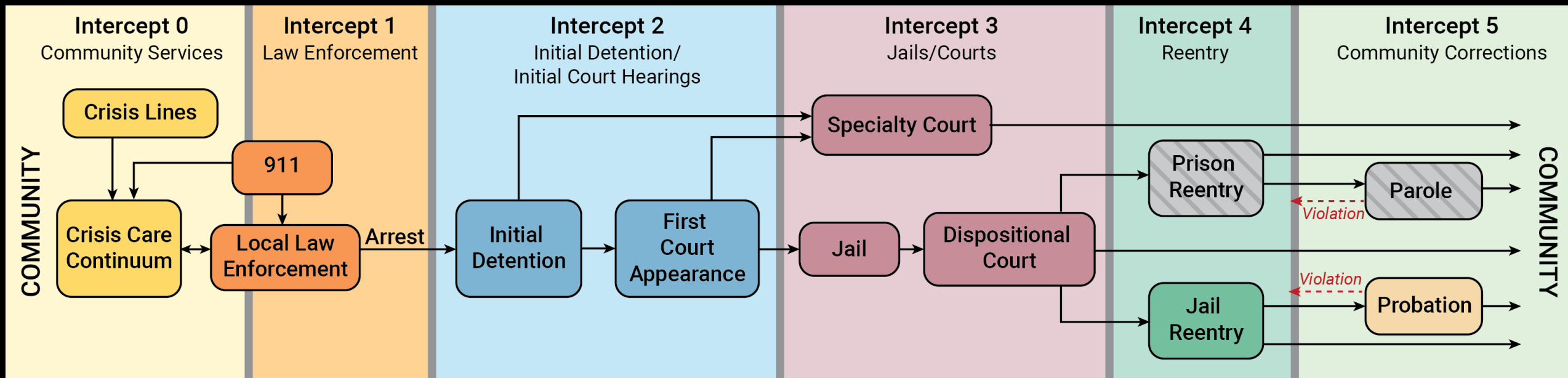
Courts

Substance
Use

Reentry

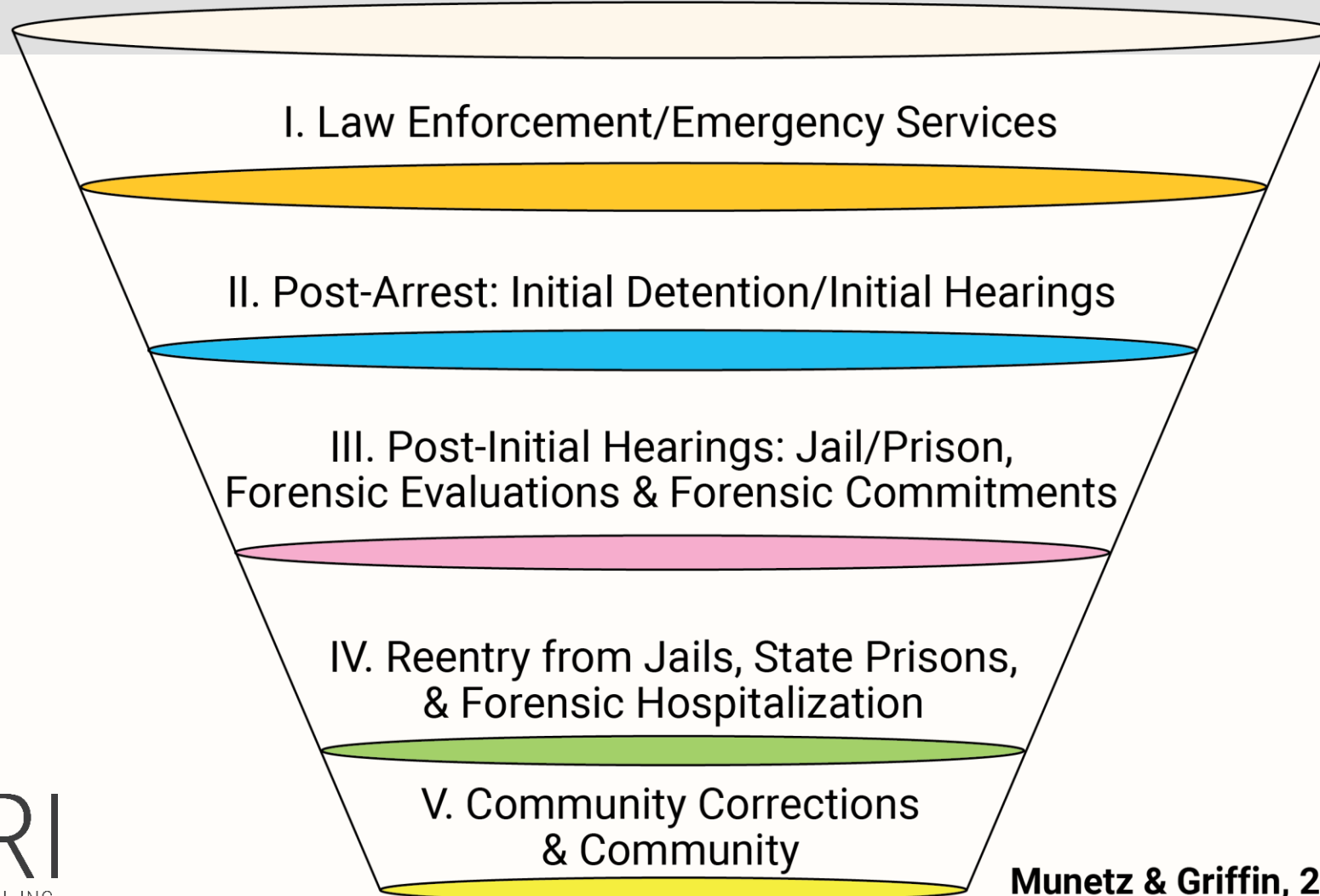


Sequential Intercept Model



The Filter Model

0. Best Clinical Practices: The Ultimate Intercept



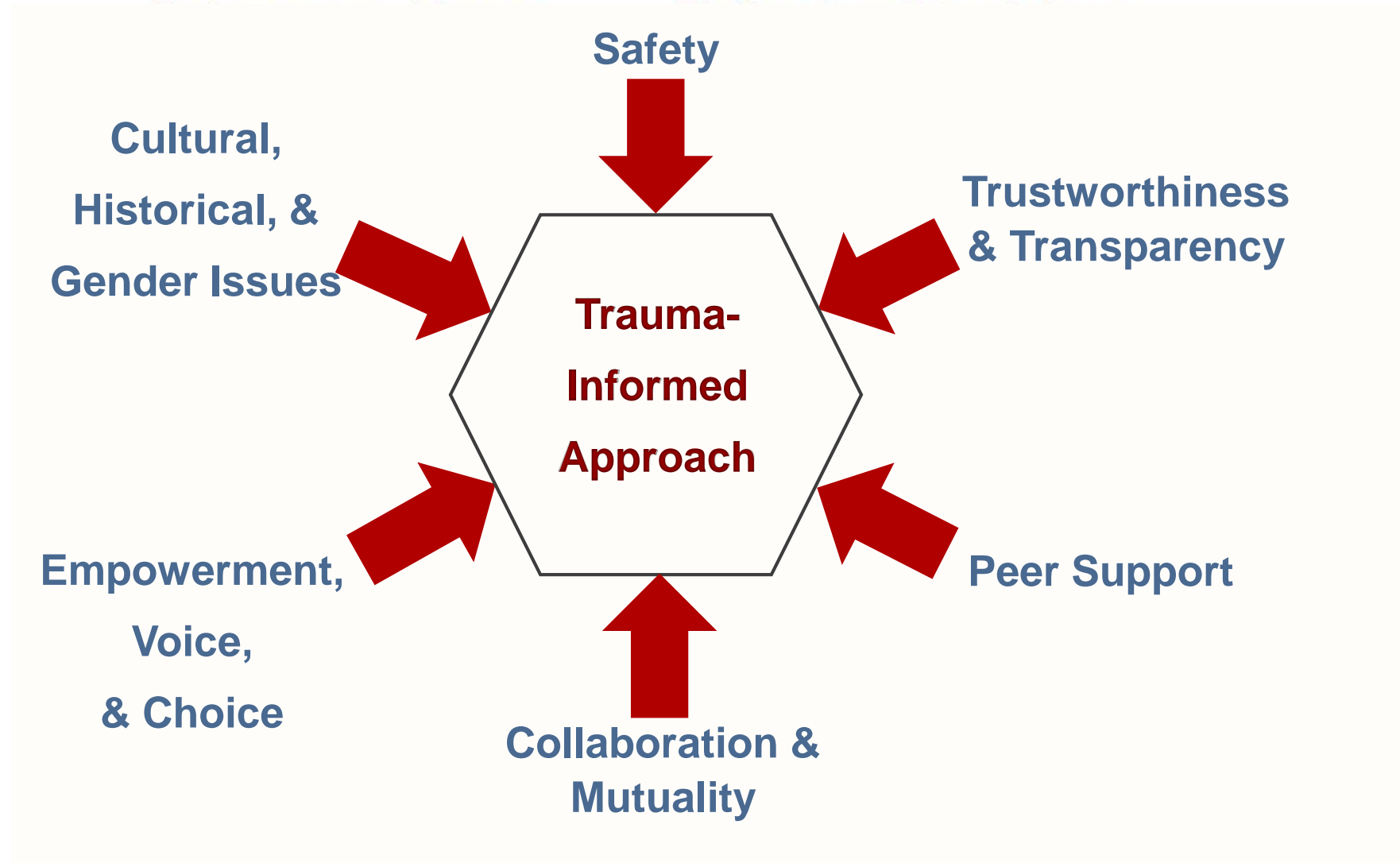
Munetz & Griffin, 2006

Learning check

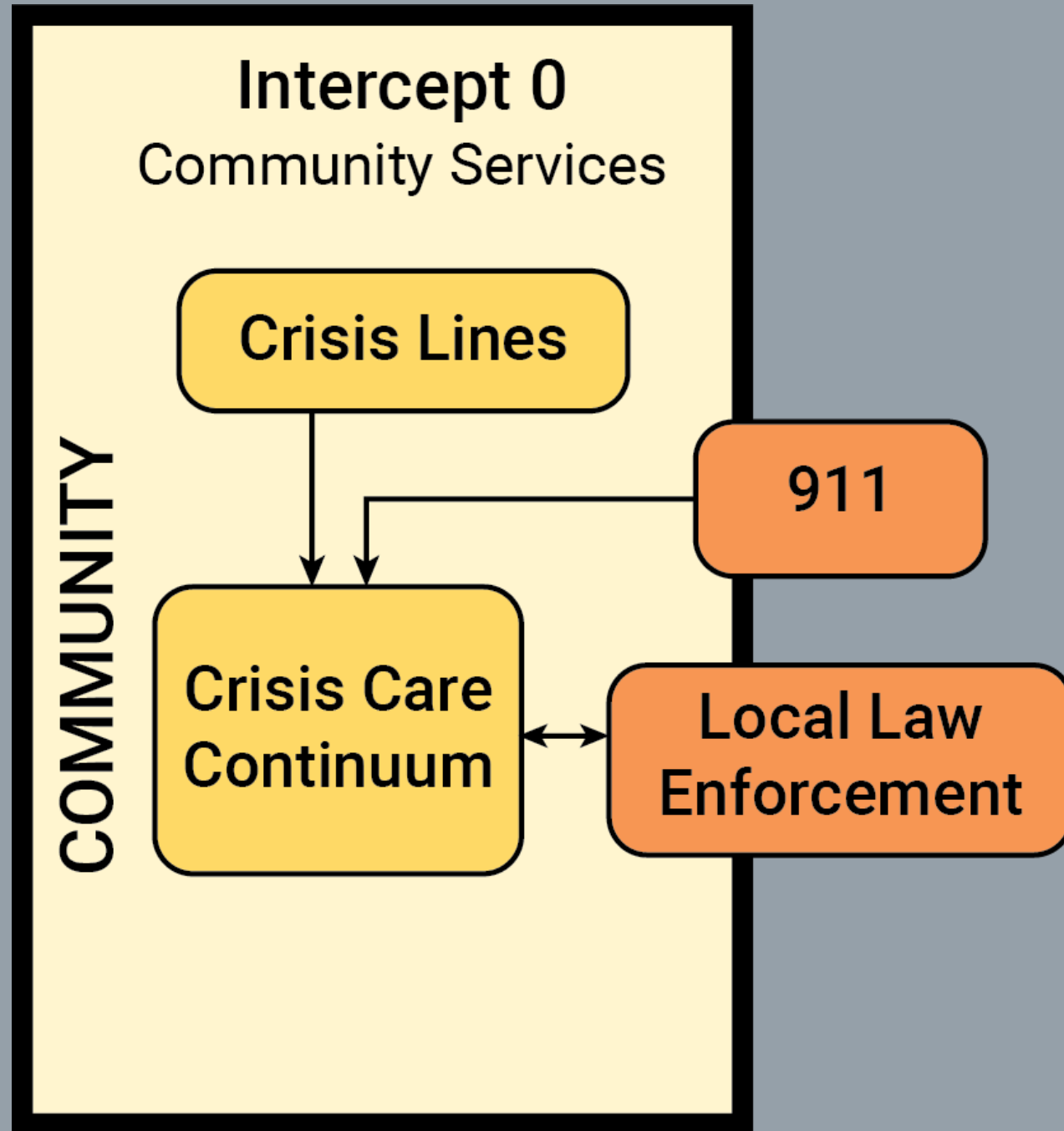
Effects of Trauma

- Hopelessness and powerlessness
- Low self-esteem
- Trust issues
- Sleep disturbances
- Space and touch issues
- Fear and anxiety
- Flashbacks and panic attacks
- Dissociation and “numbing”
- Depression and suicidal thoughts/actions
- Self-harm

Principles of a Trauma-Informed Approach



Intercept 0 Community Services



Crisis Stabilization Deep Dive: 2016

Mecklenburg County (Charlotte), NC

PRE-CRISIS (PREVENTIVE)	CRISIS, NOT EMERGENCY	EMERGENCY	POST-CRISIS OR EMERGENCY
<p>National Alliance on Mental Illness Family and consumer education, resource information, and advocacy</p> <p>Monarch Walk-in Clinic Evaluations, medication management, therapy</p> <p>Anuvia Prevention and Recovery Center Detox Services 24/7/365 Social Detox</p> <p>Amara Wellness Walk-in Clinic Evaluations, medication management, therapy</p> <p>Promise Resource Network Recovery Hub</p> <p>Urban Ministry Homeless diversion w/street outreach</p> <p>Charlotte Community Based Outpatient Clinic Charlotte Health Care Clinic For Veterans Individual, group, family counseling</p> <p>Charlotte Vet Center Range of social and psychological services</p>	<p>Davidson LifeLine Crisis hotline, training</p> <p>National Alliance on Mental Illness Family/consumer education, resource recommendations, advocacy Family/consumer support thru crisis</p> <p>Cardinal Innovations Call Center Crisis referral/info 24/7/365</p> <p>Mobile CriSys 24/7/365 Assess, triage, refer</p> <p>Monarch Walk-in Clinic Evaluations, medication management, therapy</p> <p>Amara Wellness Walk-in Clinic Evaluations, medication management, therapy</p> <p>Anuvia Prevention and Recovery Center Detox Services 24/7/365 Social Detox</p>	<p>911 Dispatch Over 100 Telecommunicators 16-hr Crisis Intervention Team (CIT) training</p> <p>Cardinal Innovations Call Center Crisis referral/info 24/7/365</p> <p>MEDIC 24/7/365 Assess, triage, transport</p> <p>Mobile CriSys 24/7/365 Assess, triage, refer</p> <p>Carolinas Healthcare System Behavioral Health – Charlotte 24/7/365 Psychiatric Emergency Department Inpatient unit Observation unit</p> <p>Behavioral Health – Davidson Psychiatric hospital</p> <p>Presbyterian Hospital Acute Care Emergency Department Behavioral health beds Child/adolescents unit</p> <p>Central Regional Hospital Broughton Hospital</p> <p>Charlotte Mecklenburg Police Department 40-hr Crisis Intervention Team training (CIT) CIT Mental Health Clinician Mental Health First Aid</p> <p>Mecklenburg County Sheriff's Office 40-hr Crisis Intervention Team training</p> <p>Municipal and College Police Departments Probation</p>	<p>National Alliance on Mental Illness Family and consumer education, resource info, and advocacy Support groups Recommendations for on-going recovery support</p> <p>Promise Resource Network Recovery Hub Peer support transition from inpatient setting</p> <p>Peer Bridger Program Transition from Hospital and Jail Peer support transition from inpatient setting</p> <p>HopeWay Residential treatment Day treatment Two transitional living centers</p> <p>Charlotte Community Based Outpatient Clinic Charlotte Health Care Clinic For Veterans Individual, group, family counseling</p> <p>Mecklenburg County Reentry Services For Formerly Incarcerated Individuals Housing, employment, educational support; refer to mental health/substance abuse provider for appointments</p>
<p>Recovery Advocacy Promise Resource Network; Mental Health America; National Alliance on Mental Illness</p>			

Benefits

How we think
about something affects
how we respond



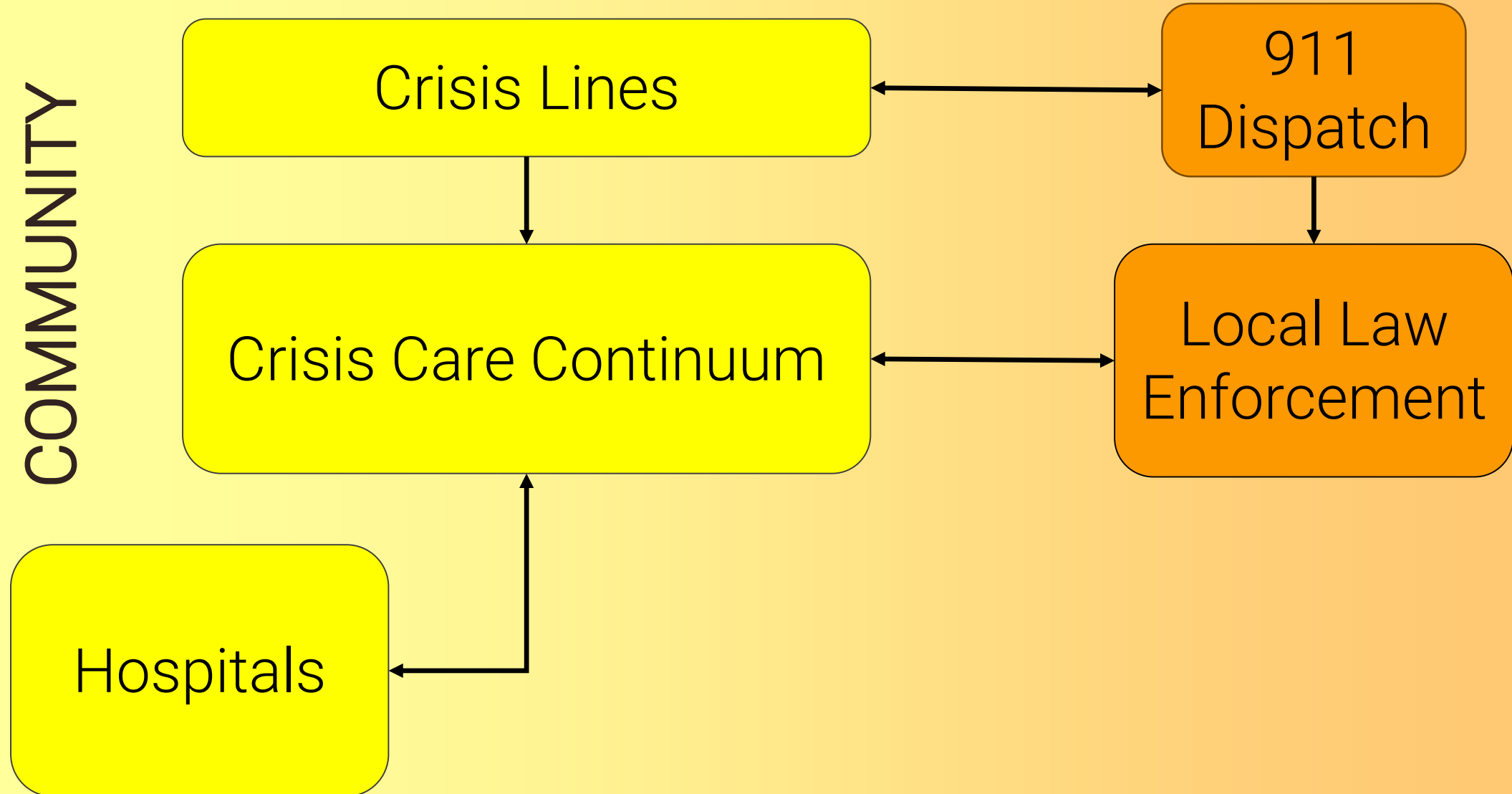
Intercept 0

Community Services

Intercept 1

Law Enforcement

COMMUNITY



Learning check

Law Enforcement?

- Approach
- Identify yourself
- Restrain
- Pat-down
- Handcuff
- Transported
- Booked - property taken

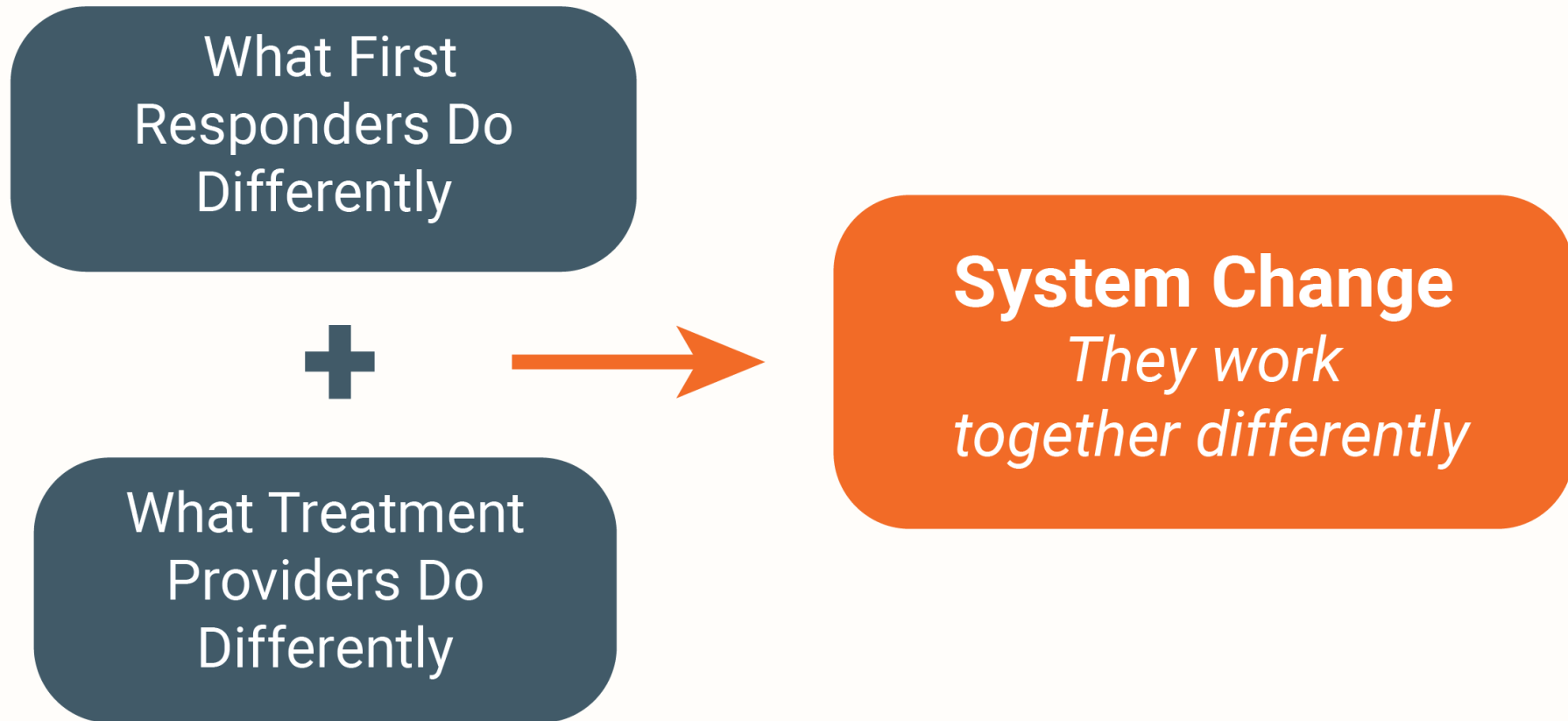
Learning check

Trauma-Informed: Law Enforcement

- Ensure safety of all
- Talk to the person and listen
- Announce what happens next
- Include the person

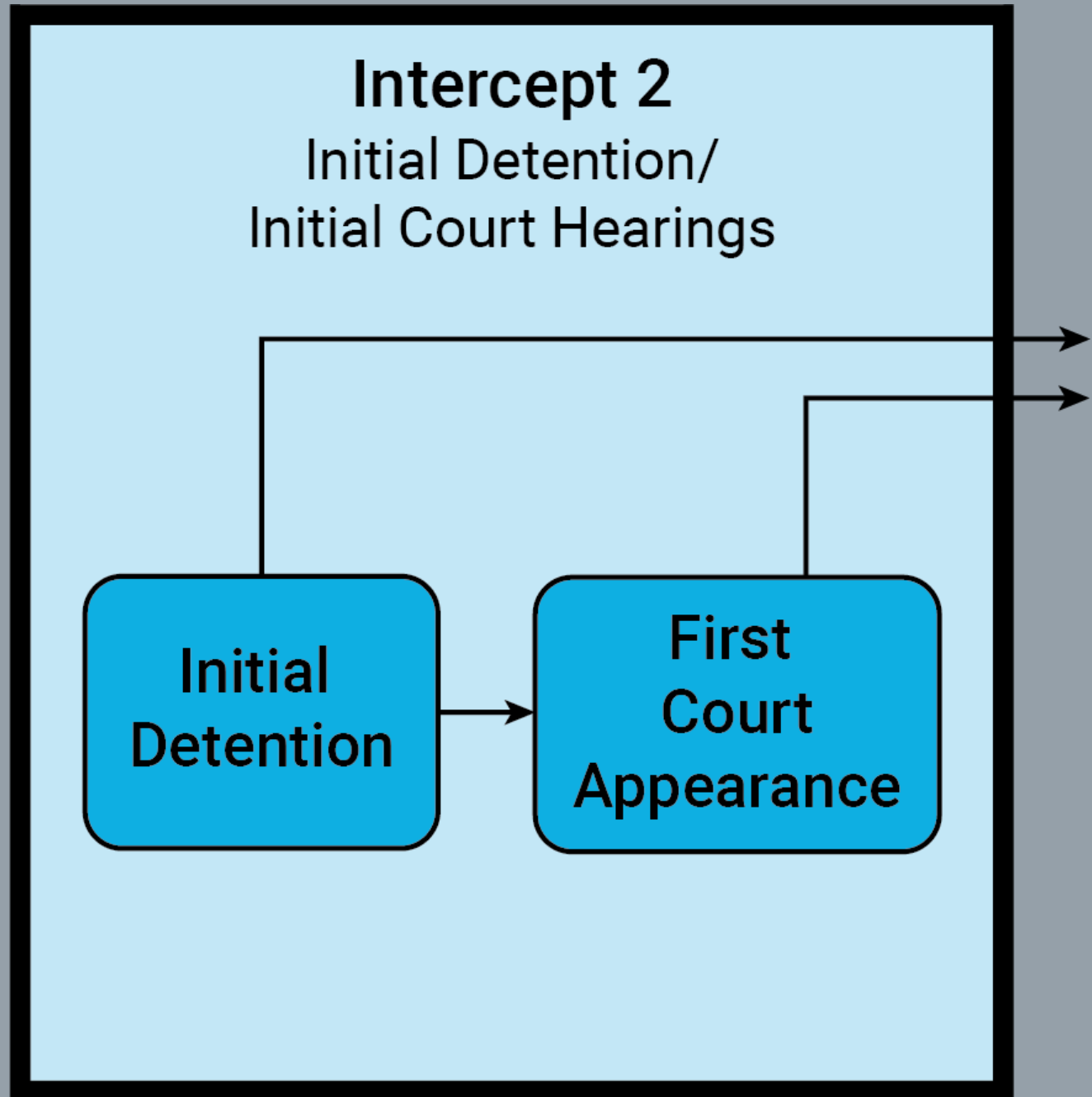
Diversion Equation in Intercepts 0/1

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Intercept 2

Initial Detention/
Initial Court Hearings



Learning check

Jail/Correctional Services?

- Confinement
- Strip searches or pat-downs
- BOSS chair
- Drug testing
- Shave head
- Stool box

Learning check

Trauma-Informed: Jails/ Correctional Services

- Respect- expect and give
- Provide information
- Be sensitive to potential impact of confinement

Importance of Intercept 2 Diversion

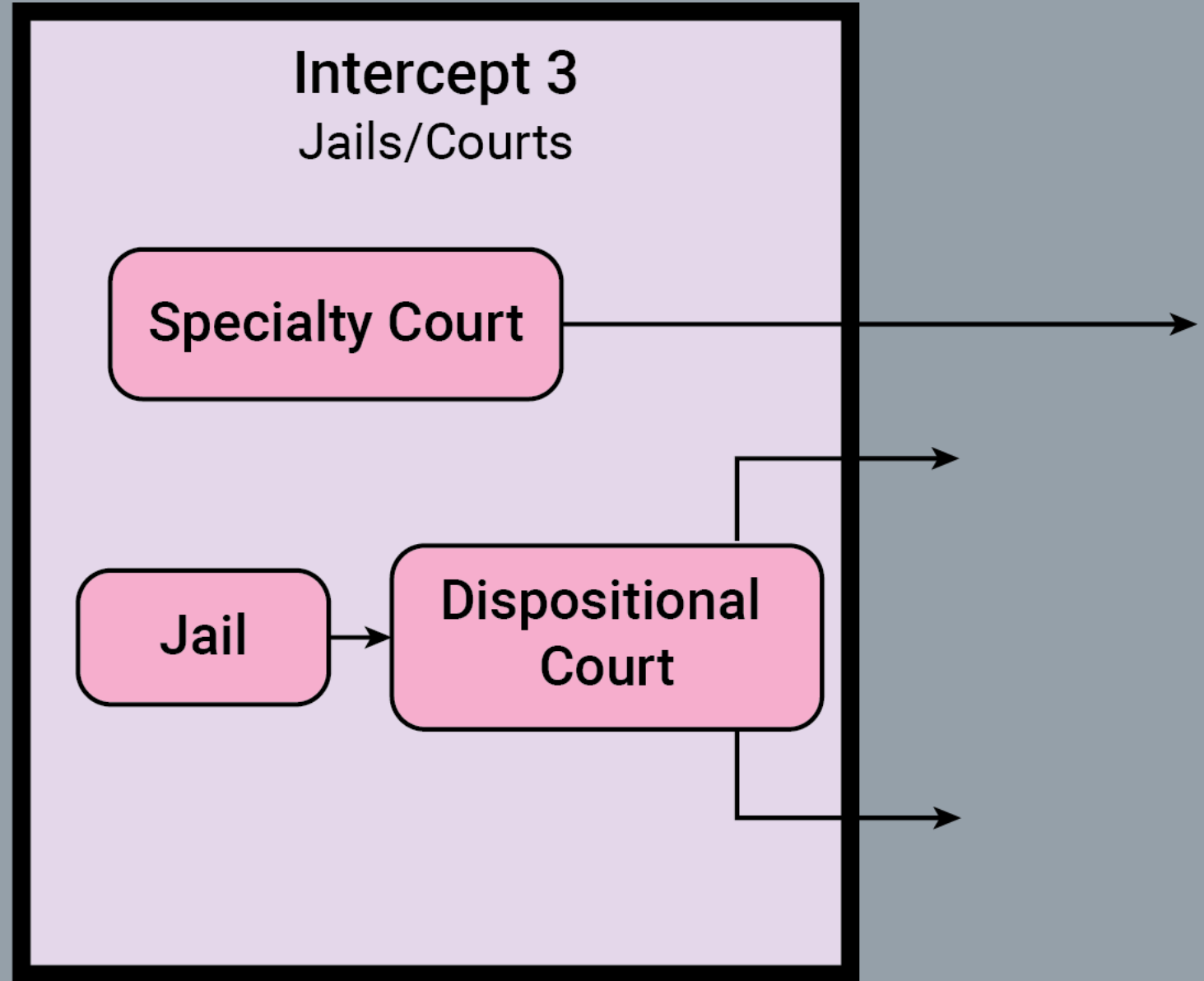
40

2013 study of pretrial detention in Kentucky (N=155,000)

- When held **2-3 days**, low-risk defendants **40% more likely** to commit crimes before trial
- When held **8-14 days**, low-risk defendants are **51% more likely** to commit crimes 2 years after case disposition

*Detention of **low** and **moderate** risk defendants increases their rates of new crimes*

Intercept 3 Jails/Courts



Learning check

Courts?

- Handcuffs
- Holding cells
- Escort defendant
- Judge on bench/separated (authority)
- Public trials
- Conditions determined

Learning check

Trauma-Informed: Courts

- Space
- Time
- Relationship
- Consequences

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Jails and Courts

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- In-jail Services
 - Assessment of in-custody needs
 - Access to medications, MH services, and SU services
 - Communication with community-based providers
- Specialty/Treatment Courts
 - Drug/DUI courts, mental health courts, veterans court, DV, Tribal Wellness courts, reentry courts, etc.

Intercept 4
Reentry

Intercept 4
Reentry

Prison
Reentry

Jail
Reentry

Learning check

Reentry?

- No planning for reentry
- “Culture” of incarceration
- Re-integration issues
- Barriers to services and supports

Learning check

Trauma-Informed: Reentry

- Plan for reentry
- Understand and acknowledge reintegration challenges
- Link to services and supports
- Show respect
- Give choice when possible

Reentry is a Matter of Life and Death

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- Study of 30,000 prisoners released in Washington State (2007)
 - 443 died during follow-up period of 1.9 years
 - Death rate 3.5 times higher than general population
 - Primary causes of death
 - Drug overdose (71% of deaths)
 - Other: heart disease, homicide, and suicide
- Post-release death by suicide nearly 3 times higher than jail deaths

Case Management is Critical

Multiple Needs

- Mental health
- Medications
- Housing
- Substance abuse
- Health
- Income support/benefits
- Food/clothing
- Transportation
- Other (often used for child care needs of women)

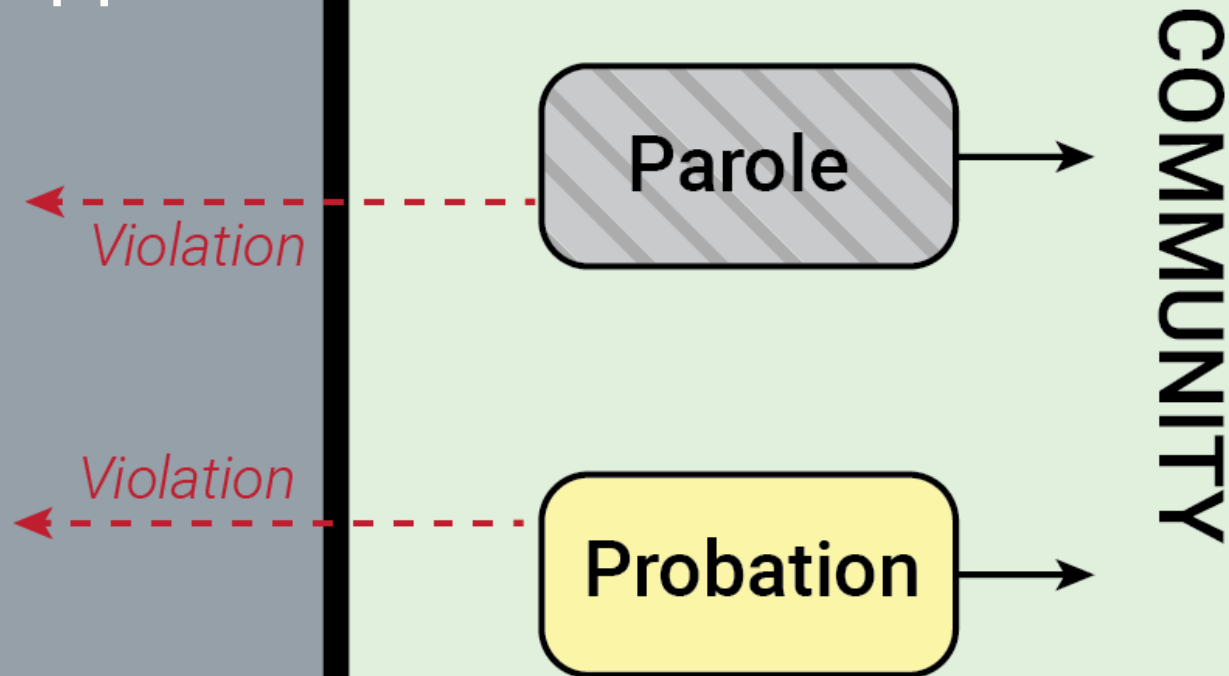


Multiple Systems

- MH Services
- SA Services
- Health Services
- Food, Clothing
- Medicaid
- SSA
- Veteran Benefits
- Parole/Probation
- Housing
- Transportation

Intercept 5

Community Corrections/
Community Supports



Learning check

Probation?

- Supervision by probation officer
- Frequent meetings and check-ins; home visits; employment visits
- Drug/alcohol assessments
- Probation violation hearings
- Mental health evaluations

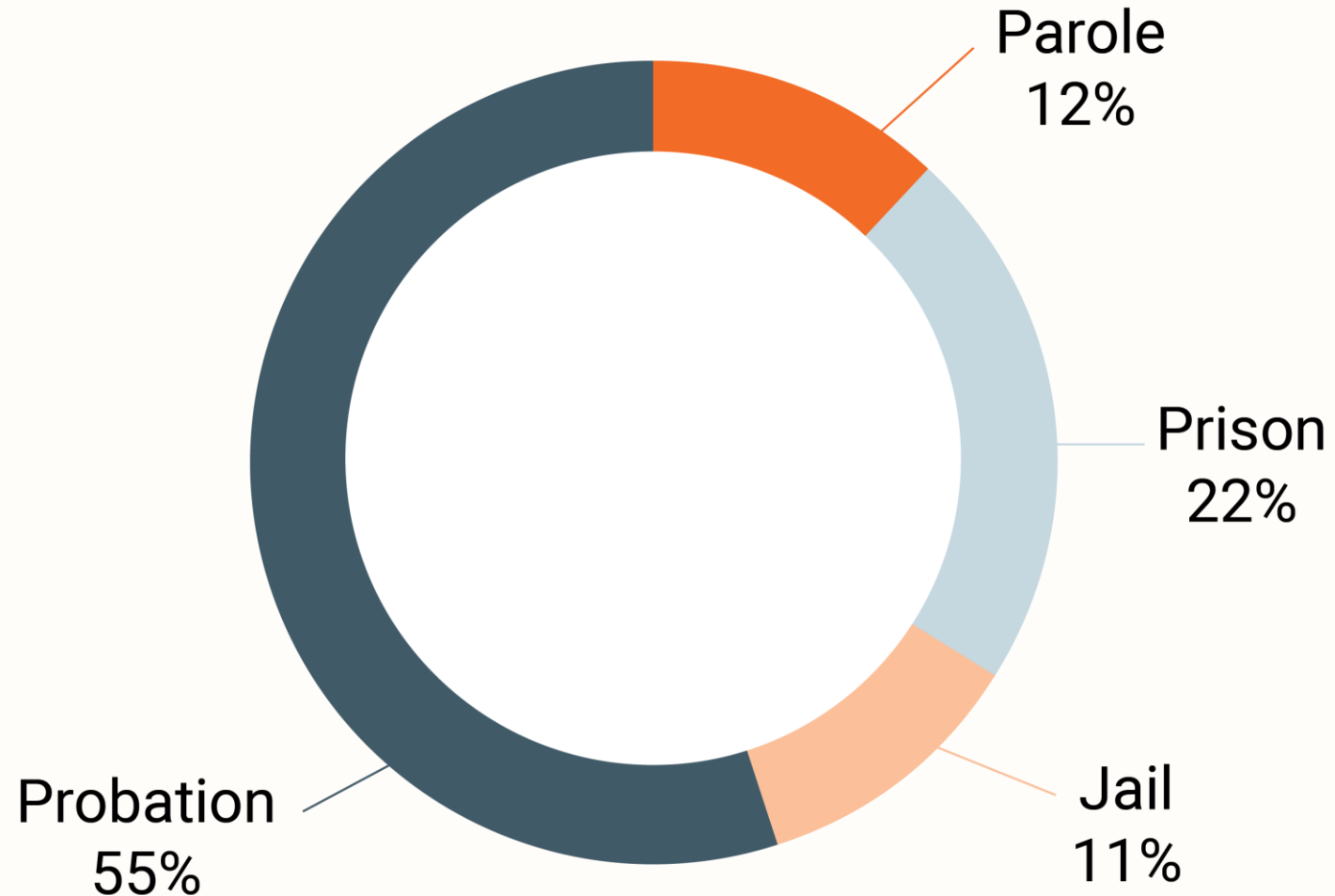
Learning check

Trauma-Informed: Probation

- Be flexible with consequences and sanctions
- Clarify requirements
- Reward progress
- Link to treatment

6.9 Million Under Correctional Supervision

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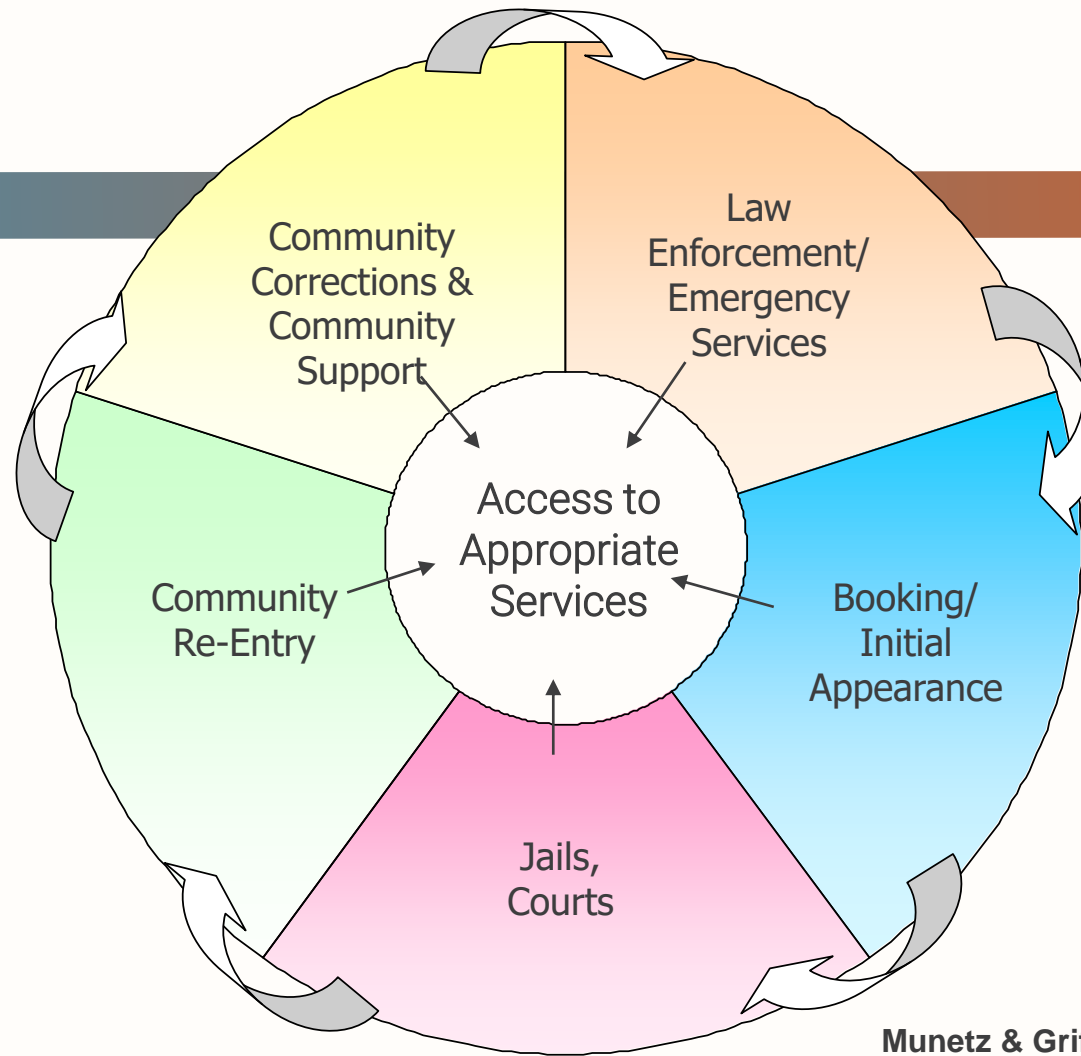


CartoonStock.com

Specialized Caseloads: Promising Practice

55

- Rely on an effective partnership between supervising probation officers and treatment providers
- Benefits
 - Improves linkage to services
 - Improves functioning
 - Reduces risk of violation
- Probation best practices: validated assessment tools, training for officers, including Motivational Interviewing and building cognitive skills, case planning, & a focus on criminogenic risks



Munetz & Griffin, 2006

Why add more to do's

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- Justice involved behavioral health populations are
 - Heavy healthcare utilizers
 - At risk for earlier illness and death
 - At risk of deepening exposure to criminal justice
- Moving people from each intercept point and into treatment are critical steps